



**Comunidad de Madrid**



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***REPORT OF THE EVALUATION 2019 OF  
THE EUROPEAN SOCIAL FUND  
OPERATIONAL PROGRAMME 2014-2020  
OF THE COMMUNITY OF MADRID***

***Executive summary***

**IDOM**

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# EVALUATION OBJECTIVES/RESULTS AT 31/12/2018 OF THE EUROPEAN SOCIAL FUND (ESF) OPERATIONAL PROGRAMME 2014-2020 OF THE COMMUNITY OF MADRID

## Executive Summary

The 2019 evaluation of the objectives / results of the ESF Operation Programme 2014-2020 of the Community of Madrid is carried out in accordance with Articles 54, 56, 111 and 114 of Regulation (EU) 1303/2013. During the programming period, the Managing Authority shall ensure that evaluations are carried out for each programme, to estimate its effectiveness, efficiency and impact, based on the evaluation plan, and that any evaluation is subject to appropriate monitoring, in accordance with the specific rules of the Funds. The Dirección General de Asuntos Europeos y Cooperación, de la Vicepresidencia, Consejería de Presidencia y Portavocía del Gobierno, as the Intermediate Coordinating Body of the ESF OP 2014-2020 of the Community of Madrid, has drawn up the Specific Evaluation Plan of the ESF OP 2014-2020, in accordance with which this evaluation is carried out.

The purpose of the evaluation is to analyse the state of progress of the programme in 2018, compliance with the 2018 milestones performance framework, progress towards the programme's objectives, and the programme's contribution to the Union's Strategy for Intelligent, Sustainable and Inclusive Growth.

The temporal scope of the evaluation corresponds to the period from 1 January 2014 to 31 December 2018 and includes all operations implemented up to that date.

The methodology used is based on the collection of documentary information and interviews with the managing units of the programme. The evaluation has been carried out following the indications of the *Guide for the 2019 evaluation of the objectives / results of the ESF Operational Programmes* of the Subdirección General de Programación y Evaluación from the Ministerio de Trabajo, Migraciones y Seguridad Social.

The main conclusions of the evaluation report are, in general terms, the following:

### **Effectiveness, efficiency and impact**

Within Axis 1, the actions developed in the SO.8.1.3. (actions for the recruitment of research staff) and SO.8.4.2 (actions to raise awareness of equality between men and women) have a high degree of effectiveness and greater efficiency than the rest of the actions in the axis, since both the number of beneficiaries and the results obtained by them have exceeded forecasts.

Under Axis 2, the actions included in SO.9.1.1. (Occupational Centres and Labour Rehabilitation Centres), SO.9.3.1. (Promotion of gender equality) and SO.9.5.2. (Social Integration Companies and Transition Units for Labour Integration) have a high degree of effectiveness and high results, resulting in the most efficient actions of the axis.

In Axis 3, the performance of SO.10.4.1. (Teaching of Intermediate and Advanced Vocational Training Cycles) has a high degree of efficiency and results above expectations.

According to the values reached by the result indicators, it can be stated that, in the short and medium term, the implementation of the programme's actions seems to have been positive and significant for the participants, companies and entities benefiting from the ESF support.

### **Compliance with the Performance Framework**

For the whole axes, at least 85% of the milestone for 2018 values of the planned indicators have been reached, thus complying with the Performance Framework (PF).

The progress towards the achievement of the target 2023, in all indicators, is classified as average, since, although in some cases the value reached in the Output Indicators (OOII) makes it possible to estimate total compliance with the value at 2023, with regard to compliance with the Financial Indicators (FFII), the progress is slower, motivated fundamentally by increased time needed for justification, verification and control of expenses.

In conclusion, the values reached by the OOII and FFII make possible to verify compliance with the PF, although there is a lack of coherence between Output and Financial Indicators in all axes. About OOII, the value of the OI of Axis 1 (CO01) must be reviewed since it exceeds the target for 2023 and the value of the OI of Axis 3 (CO03) must be monitored since it is possible that the target 2023 will be greatly exceeded if it continues at the same rate of execution. About FFII, an effort should be made to speed up the processes of justification, verification and control of expenditure to increase the financial execution of the OP.

### **Progress in achieving the results of OP 2023.**

For Axis 1, the Result Indicator (RI) effectiveness rating of SO.8.1.2. is low as some actions have not yet started, while the RI effectiveness rating of SO.8.1.3. is high and could exceed the 2023 target. On the other hand, within SO.8.3.1. the RI ER46 has a high degree of efficiency while the ER45 low, many of the business plans do not materialise into business start-ups. Finally, in SO.8.4.2. the two indicators have a high level of effectiveness, both outputs and results have significantly exceeded forecasts.

In Axis 2, the degree of effectiveness of the RRII of SO 9.1.1. and 9.3.1. is high, while the effectiveness of the RRII of SO 9.3.2. is very low, except for actions aimed at promoting gender equality and equal treatment. This is due, among other factors, to the difficulties in following up the participants in these actions to obtain the results. Within SO.9.5.2. the short-term indicator has a high degree of effectiveness while the long-term indicator has a low degree of effectiveness, noting the difficulties in maintaining employment.

In Axis 3, the RI of SO.10.2.1. has a low degree of efficiency while for the IR SO.10.4.1. is high.

### **PO contribution to the Union Strategy for Smart, Sustainable and Inclusive Growth**

The general objectives of the OP contribute directly to the achievement of four of the five objectives of the EU2020 Strategy:

**Employment:** Spain 2020 objective: 74% of the people aged 20-64 to be employed.

The actions of Axis 1 TO8 to improve the level and quality of employment, the actions of Axis 2 TO9 aimed at improving the situation of people in situation or at risk of social exclusion and the

actions of Axis 3 TO10 to promote professional training that allows integration into the labour market, contribute to improving employment levels in the Community of Madrid and thus to the employment objectives in Spain and the EU.

**R&D:** Spain 2020 objective: 2% of the Spain's GDP to be invested in the R&D sector

The actions developed in Axis 1 TO8 and Axis 3 TO10 in favour of hiring young doctoral students and researchers in Technology and Research Centres contribute directly to the objective of investment in R&D, the objective of intelligent growth.

**Education:** Spain 2020 objectives: rates of early school leavers (18-24 year-old) below 15%.

The actions developed in Axis 3, TO10 for the promotion of vocational training with integration into the labour market contribute to reducing early school leavers.

**Poverty and social exclusion:** Spain 2020 objective: to reduce the number of people at risk of poverty and social exclusion by 1.4 million compared to 2008.

Most of the actions carried out in Axis 2, TO9, aim at improving social and labour integration and the qualification or employability of people at risk of social exclusion.

On the other hand, and indirectly, actions such as research in renewable energies (IMDEA Energy) or new materials (IMDEA Materials) that contribute to the objective of climate change and energy sustainability are carried out within Axis 1-TO8 and Axis 2-TO10.

### Progress on horizontal aspects

In relation to **partnership and governance**, during the programme execution phase the participation of the managing units is implemented in accordance with the OP Management and Control Manual. The management units show usually administrative overload, and difficulties with the monitoring of indicators as well as the need for administrative simplification.

Regarding the **promotion of equality between men and women and non-discrimination**, actions to promote equality between men and women are carried out within the programme by the DG Mujer in Axes 1 and 2. On the other hand, within Axis 2 all actions are aimed at groups at risk of social exclusion and especially vulnerable groups (migrants, ethnic minorities, LGTBI groups, people with disabilities). This principle is included within the criteria for the selection of operations as a mandatory criteria.

**Sustainable development**, the ESF must create the conditions for sustainable development through training, employment, inclusion and the fight against poverty. These four elements are explicitly included in the ESF OP of the Community of Madrid. Within Axis1, research Programmes include research into natural resources, energy and materials that contribute to sustainable development. Within Axis 2, some of the labour insertion companies carry out their activity in improving the environment: recycling, waste, gardening, etc. Finally, in Axis 3, among the Vocational Training Cycles are included some related to renewable energies.

Finally, in relation to EU added value, among the aspects expressed by the management units, the improvement in management and control stands out despite the administrative burden it entails; greater financing capacity for the Community of Madrid; and greater visibility of the actions developed by the management units.

In addition, the evaluation report includes a series of recommendations to be considered in accordance with its conclusions:

- **With regard to effectiveness**, it is recommended to initiate as soon as possible the actions that have not yet begun; to review the output indicators that exceed target 2023 (SO.8.1.2, SO.9.1.1, SO.9.5.2); and to review the performance indicators with a low degree of effectiveness in order to guarantee compliance of the 2023 targets.
- **Concerning efficiency**, improve the degree of efficiency by increasing output and result indicators while maintaining the initial budget.
- **In relation to the fulfilment of the FP and progress in achieving the objectives of the OP**, it is recommended to: review the output indicator of Axis 1 since it exceeds the goal 2023; review the output indicator of Axis 3 since the degree of progress of 51% makes it foreseeable that the goal will be exceeded in 2023; and accelerate the rhythm of the declarations of expenses in order to adapt the physical execution to the financial execution.
- **Regarding the achievement of OP results**: transfer to the managing units the need to carry out the follow-up of the information necessary to quantify the results indicators and revise the methodology foreseen if necessary; revise the result indicators with a low degree of efficiency and analyse the convenience or not of their modification based on the forecasts of the managing units.
- **About horizontal principles**: move towards administrative simplification, especially in calls for aid with a high administrative burden, this will help to speed up the process of declaring expenditure; try to select actions wherever possible that contribute to sustainable development.

Madrid, 31st May 2019